

## **STIC Biotechnology Systems Branch**

### **RAW SEQUENCE LISTING** **ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/926,493  
Source: IFWP  
Date Processed by STIC: 3/14/06

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE **CHECKER VERSION 4.4.0 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

**<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>**

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<**<http://www.uspto.gov/ebc/efs/downloads/documents.htm>**> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):  
U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

## Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION	SERIAL NUMBER: <u>09/926,493</u>
ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE		
1 <u>    </u> Wrapped Nucleics J    Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor <b>after</b> creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2 <u>    </u> Invalid Line Length	The rules require that a line <b>not exceed</b> 72 characters in length. This includes white spaces.	
3 <u>    </u> Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do <b>not</b> use tab codes between numbers; use <b>space characters</b> , instead.	
4 <u>    </u> Non-ASCII	The submitted file was <b>not</b> saved in ASCII(DOS) text, as <b>required</b> by the Sequence Rules. <b>Please ensure your subsequent submission is saved in ASCII text.</b>	
5 <u>    </u> Variable Length	Sequence(s) <u>    </u> contain n's or Xaa's representing more than one residue. <b>Per Sequence Rules, each n or Xaa can only represent a single residue.</b> Please present the <b>maximum</b> number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6 <u>    </u> PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) <u>    </u> . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. <b>This applies to the mandatory &lt;220&gt;-&lt;223&gt; sections for Artificial or Unknown sequences.</b>	
7 <u>    </u> Skipped Sequences (OLD RULES)	Sequence(s) <u>    </u> missing. If intentional, please insert the following lines for <b>each</b> skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to <b>include</b> the skipped sequences.	
8 <u>    </u> Skipped Sequences (NEW RULES)	Sequence(s) <u>    </u> missing. If <b>intentional</b> , please insert the following lines for <b>each</b> skipped sequence. <210> sequence id number <400> sequence id number 000	
9 <u>    </u> Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of <b>n</b> or <b>Xaa</b> , and which residue <b>n</b> or <b>Xaa</b> represents.	
10 <u>    </u> Invalid <213> Response	Per 1.823 of Sequence Rules, the only <b>valid</b> <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is <b>required</b> when <213> response is Unknown or is Artificial Sequence. (see item 11 below)	
11 <u>    </u> Use of <220> J	Sequence(s) <u>1-4</u> missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules	
12 <u>    </u> PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 <u>    </u> Misuse of n/Xaa	"n" can <b>only</b> represent a single <u>nucleotide</u> ; "Xaa" can <b>only</b> represent a single <u>amino acid</u>	



IFWP

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/926,493

DATE: 03/14/2006

TIME: 10:53:43

Input Set : A:\PTO.DA.txt

Output Set: N:\CRF4\03142006\I926493.raw

see item 2 on  
Err summary  
sheet

3 <110> APPLICANT: Centre National De La Recherche Scientifique (CNRS)  
5 <120> TITLE OF INVENTION: Nucleic Acid-Antibody Conjugate for Delivering A Foreign  
Nucleic Acid  
6 into Cells  
8 <130> FILE REFERENCE: P67289US0  
10 <140> CURRENT APPLICATION NUMBER: US 09/926,493  
C--> 12 <141> CURRENT FILING DATE: 2001-11-13  
14 <150> PRIOR APPLICATION NUMBER: FR 99/05943  
16 <151> PRIOR FILING DATE: 1999-05-10  
E--> 18 <160> NUMBER OF SEQ ID NOS: 2  
20 <170> SOFTWARE: PatentIn version 3.1

pp 1, 3-4

## ERRORED SEQUENCES

9 shown below

22 <210> SEQ ID NO: 1  
24 <211> LENGTH: 8  
26 <212> TYPE: PRT  
28 <213> ORGANISM: Artificial Sequence  
30 <220> FEATURE:  
32 <221> NAME/KEY: MISC\_FEATURE  
34 <222> LOCATION: (1)...(2)  
36 <223> OTHER INFORMATION: Amino acids which allow chemical bonding or attachment of the  
antibody  
38 <400> SEQUENCE: 1  
W--> 40 Xaa Xaa Phe Tyr Gly Gly Phe Arg Leu  
E--> 41 1 5  
44 <210> SEQ ID NO: 2  
46 <211> LENGTH: 8  
48 <212> TYPE: PRT  
50 <213> ORGANISM: Artificial Sequence  
52 <220> FEATURE:  
54 <221> NAME/KEY: MISC\_FEATURE  
56 <222> LOCATION: (1)...(2)  
58 <223> OTHER INFORMATION: Amino acids which allow chemical bonding or attachment of the  
antibody  
60 <400> SEQUENCE: 2  
W--> 62 Xaa Xaa Leu Tyr Gly Gly Phe Arg Leu  
E--> 63 1 5  
66 <210> SEQ ID NO: 3  
68 <211> LENGTH: 8  
70 <212> TYPE: PRT  
72 <213> ORGANISM: Artificial Sequence  
74 <220> FEATURE:

Does Not Comply  
Corrected Diskette Needed

in 22207-22237

section (see item 11 on

Err summary sheet)

see item 2 on Err

summary  
sheet

9 shown

needs explanation

see item 2 on Err

summary  
sheet

9 (p.3)

needs explanation

76 <221> NAME/KEY: MISC\_FEATURE  
78 <222> LOCATION: (1)...(2)

## RAW SEQUENCE LISTING

DATE: 03/14/2006

PATENT APPLICATION: US/09/926,493

TIME: 10:53:43

Input Set : A:\PTO.DA.txt

Output Set: N:\CRF4\03142006\I926493.raw

80 <223> OTHER INFORMATION: Amino acids which allow chemical bonding or attachment of the antibody

82 &lt;400&gt; SEQUENCE: 3

W--&gt; 84 Xaa Xaa Tyr Leu Gly Gly Phe Arg Leu

E--&gt; 85 1 5

88 &lt;210&gt; SEQ ID NO: 4

90 &lt;211&gt; LENGTH: 8

92 &lt;212&gt; TYPE: PRT

94 &lt;213&gt; ORGANISM: Artificial Sequence

96 &lt;220&gt; FEATURE:

98 &lt;221&gt; NAME/KEY: MISC\_FEATURE

100 &lt;222&gt; LOCATION: (1)...(2)

102 <223> OTHER INFORMATION: Amino acids which allow chemical bonding or attachment of the antibody

104 &lt;400&gt; SEQUENCE: 4

W--&gt; 106 Xaa Xaa Phe Phe Gly Gly Phe Arg Leu

E--&gt; 107 1 5

*see item 2 on Euro**summary**sheet**needs explanation**see item 2 on Euro**summary**sheet*

4

<210> 5

<212> PRT

 $\langle 220 \rangle$ 

<400> 5

Gly Leu Phe Glu Ala Ile Ala Gly Phe Ile Glu Asn Gly Trp Glu Gly  
1 5 10 15  
Met Ile Asp Gly Gly Gly Cys Gly Ser Gly Ser Tyr Thr Asp Ile Glu  
20 25 30  
Met Asn Arg Leu Gly Lys Gly  
35

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/926,493

DATE: 03/14/2006

TIME: 10:53:44

Input Set : A:\PTO.DA.txt

Output Set: N:\CRF4\03142006\I926493.raw

L:12 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:40 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:0 ✓  
L:41 M:252 E: No. of Seq. differs, <211> LENGTH:Input:8 Found:9 SEQ:1 ✓  
L:62 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 after pos.:0 ✓  
L:63 M:252 E: No. of Seq. differs, <211> LENGTH:Input:8 Found:9 SEQ:2 ✓  
L:84 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:0 ✓  
L:85 M:252 E: No. of Seq. differs, <211> LENGTH:Input:8 Found:9 SEQ:3 ✓  
L:106 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:0 ✓  
L:107 M:252 E: No. of Seq. differs, <211> LENGTH:Input:8 Found:9 SEQ:4 ✓  
L:18 M:203 E: No. of Seq. differs, <160> Number Of Sequences:Input (2) Counted (5)